



Rudra Shares & Stock Brokers Limited

(Formerly Known as Gigantica Securities Limited)

Corporate Office : Rudra House, (Adjoining Krishna Tower), 15/63, Civil Lines, Kanpur - 208 001

Ph. : 0512-3071647/648 • Fax : 0512-3913929 • E-mail : dp@rudrashares.com • Web : www.rudrashares.com

Reg. Office : 73, Rajdhani Nikunj Society, I. P. Extn.-94, Patparganj, New Delhi-110 092)

CDSL DP ID : 12061400 & Sebi Reg. No.: IN-DP-CDSL-518-2009



Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL [SMS Alerts will be sent by CDSL to BOs for all debits]

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise :

- "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
- "DP" means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
- "BO" means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
- SMS means "Short Messaging Service"
- "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
- "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
- "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

- The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those account holders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
- The service is currently available to the BOs who are residing in India.
- The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
- In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
- The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

- The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
- The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
- The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
- The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
- The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing

I/We provide the following information for the purpose of **REGISTRATION / MODIFICATION** (Please cancel out what is not applicable).

BOID

1	2	0	6	1	4	0	0										
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--	--	--

(Please write your 8 digit Client ID)

Sole / First Holder's Name : _____

Second Holder's Name : _____

Third Holder's Name : _____

Mobile Number on which messages are to be sent

+91																	
-----	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

(Please write only the mobile number without prefixing country code or zero)

The mobile number is registered in the name of : _____

Email ID : _____

(Please write only ONE valid email ID on which communication; if any, is to be sent)



Sole / First Holder

Second holder

Third Holder

Place:

Date:

Note : Signature of Client is Mandatory in Both Pages.

P.T.O.



KYC Details Change Form (For Individuals Only)



Application No. : _____

Please fill this update / modification form in ENGLISH and in BLOCK LETTERS (Please strike off Sections that are not used)

A Name of Applicant (Mandatory as per original KYC records)												
Title	<input type="checkbox"/> Mr.	<input type="checkbox"/> Ms.	<input type="checkbox"/> Other (Please Specify)	Aadhaar Number, if any:	PAN							
Name												
Date of Birth	d	d	/	m	m	/	y	y	y	y		

Please Provide the new KYC details which should be updated in your KYC records.

B Mandatory fields for KYCs done before 1 st January 2012															
1. Father's/Spouse Name															
2. Current Marital Status				<input type="checkbox"/> Single		<input type="checkbox"/> Married		3. Current Nationality				<input type="checkbox"/> Indian		<input type="checkbox"/> Other (Please Specify)	
Note "FOR OFFICE USE ONLY": The IPV Column should be mandatory filled for all KYCs registered before 1st January 2012. Originals Seen and verified should be mandatory filled for changes to Identity and Address details.															

C Identity Details (Please see guidelines overleaf)											
1. New Name (As appearing in supporting identification document).											
2. New Status Please tick(✓) <input type="checkbox"/> Resident Individual <input type="checkbox"/> Non Resident (Passport Copy Mandatory for NRIs & Foreign Nationals)											
3. PAN _____ Please enclose a duly attested copy of your PAN Card											
4. Proof of Identity submitted for PAN exempt cases (Please tick(✓))											
<input type="checkbox"/> Aadhaar Card <input type="checkbox"/> Passport <input type="checkbox"/> Voter ID <input type="checkbox"/> Driving Licence <input type="checkbox"/> Others _____ (Please see guideline 'D' overleaf)											

D Address Details (Please see guidelines overleaf)											
1. New Address for Correspondence											
City/Town/Village								Pin Code			
State				Country							
2. Contact Details											
Tel.(Off.)		(ISD)		(STD)		Tel.(Res.)		(ISD)		(STD)	
Mobile		(ISD)		(STD)		Fax		(ISD)		(STD)	
E-Mail Id.											
3. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.											
<input type="checkbox"/> Passport <input type="checkbox"/> Ration Card <input type="checkbox"/> Registered Lease/Sale Agreement of Residence <input type="checkbox"/> Driving License <input type="checkbox"/> Voter Identity Card <input type="checkbox"/> *Latest Bank A/c Statement/Passbook <input type="checkbox"/> *Latest Telephone Bill (only Land Line) <input type="checkbox"/> *Latest Electricity Bill <input type="checkbox"/> *Latest Gas Bill <input type="checkbox"/> Others (Please Specify) _____											
*Not more than 3 Months old. Validity/Expiry date of proof of address submitted d d / m m / y y y y											
4. New Permanent Address of Resident Applicant if different from above C1 OR Overseas Address (Mandatory) for Non-Resident Applicant											
City/Town/Village								Pin Code			
State				Country							
5. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.											
<input type="checkbox"/> Passport <input type="checkbox"/> Ration Card <input type="checkbox"/> Registered Lease/Sale Agreement of Residence <input type="checkbox"/> Driving License <input type="checkbox"/> Voter Identity Card <input type="checkbox"/> *Latest Bank A/c Statement/Passbook <input type="checkbox"/> *Latest Telephone Bill (only Land Line) <input type="checkbox"/> *Latest Electricity Bill <input type="checkbox"/> *Latest Gas Bill <input type="checkbox"/> Others (Please Specify) _____											
*Not more than 3 Months old. Validity/Expiry date of proof of address submitted d d / m m / y y y y											
6. Any other information											

SIGNATURE OF APPLICANT	DECLARATION	SIGNATURE OF APPLICANT
Old signature as per original KYC Whichever Applicable	I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.	

FOR OFFICE USE ONLY IPV Done on d d / m m / y y y y

AMC/Intermediary name OR code
RUDRA SHARES & STOCK BROKERS LTD.
 (Originals Verified) Self Certified Document copies received
 (Attested) True copies of documents received
 Main Intermediary

Seal/Stamp of the intermediary should contain
 Staff Name
 Designation
 Name of the Organization
 Signature
 Date

Seal/Stamp of the intermediary should contain
 Staff Name
 Designation
 Name of the Organization
 Signature
 Date